

Estimation of Sensitive Variable in Two-Phase Sampling Under Measurement Error And Non-Response Using ORRT Models

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ABSTRACT

In human surveys, people are asked highly confidential questions concerning a sensitive variable. This article concentrate on the estimation of population mean of sensitive variable under two phase sampling using ORRT models. Also, the presence of non response and measurement errors is of concern while discussing the properties of the proposed estimators. The conditions under which the proposed estimator perform relatively better than the estimators based on recent studies are obtained. Simulation study also carried out in different situations over the data set of natural population and fictitious population to support the theoretical findings.

Keywords: Scrambling Variable(s), Bias, Mean square error (MSE), Percent Relative Efficiency (PRE), Unified Measure.

1 Introduction

In sampling literature, a diverse range of techniques are present which focus on the ways of utilizing auxiliary information for accomplishing better and efficient results. An auxiliary information is the information which is often available in every unit of the population. For example, number of beds available in different hospitals is known in health care surveys. If such information lacks, it is sometimes relatively inexpensive to take large preliminary sample where auxiliary variable measured alone. Such

practice is applicable on two-phase (or double) sampling in which we obtain the information about auxiliary variable(s) from a larger sample at first phase and comparatively small sample from the second phase. Neyman (1938) were the first who initiated the concept of two-phase sampling for estimating the population parameters. Subsequently, many authors such as Sukhatme (1962), Singh and Vishwakarma (2007), Sanaullah et al. (2014), Zaman and Kadilar (2019), Misra et al. (2021) etc have worked on two-phase sampling.

It is common pattern in survey sampling that information on sensitive variable would be collected by using randomized response technique (RRT) which was introduced by Warner (1965) because direct reliable information on variable of interest is sometimes may not possible. Eichhorn and Hayre (1983) introduced a multiplicative RRT model. Every respondent in the preceding models is obliged to offer a scrambled response. Moreover, researchers have discovered that a question may be sensitive for one respondent but not for another. So, Gupta et al. (2002) modified Eichhorn and Hayre's (1983) multiplicative scrambling RRT model and developed an Optional randomized response technique (ORRT), which allows researchers to estimate not only the mean of the variable of interest, but also the sensitivity level P . Furthermore, Gupta et al. (2014; 2018; 2012), Zhang et al. (2018), Mushtaq and Amin (2020) etc have worked on estimation of mean of sensitive variable using ORRT.

In statistical studies, the problem of non-response is unavoidable. Hansen and Hurwitz (1946) were the first who introduced the estimation method to deal with the problem of non-response. They evoke a procedure of taking sub-sample of non-respondents after the mail attempt and then obtain information from the sub-sample by personal interview. Further, the technique was studied by Cochran (1977), Kumar and Bhoulgal (2011), Guha and Chandra (2019) under two-phase sampling plan. Diana et al. (2014) have designed the problem of non-response in case of sensitive variable. Equivalent to non-response, the investigators also confront the problem of measurement error while collecting the information from the respondents. It is the deviation between the value that is determined and the actual value of a variable. Many researchers like Cochran (1968), Singh and Karpe (2010), Singh et al. (2014) etc have studied the measurement error while utilizing the auxiliary information. Khalil et al. (2018; 2021) have estimated the mean of the sensitive variable in the presence of measurement error. Furthermore, Kumar and Bhoulgal (2018) have studied the problem of non-response and measurement error simultaneously and Zahid and Shabbir (2019), Zhang et al. (2021) etc have studied the mean estimation of sensitive variable under measurement error and non-response together.

Taking inspiration from previous researches, we propose a regression-cum-exponential estimator for the estimation of sensitive variable using ORRT models in two-phase sampling and studied their properties. The scrambling technique is described in section 2. In section 3, some existing estimators in terms of estimation in presence of sensitive variable using ORRT model in two-phase sampling have been discussed. In section 4, an estimator in two-phase sampling is proposed and studied its properties are discussed with some special cases. Theoretical and numerical comparisons are made and conditions are obtained under which our proposed estimator outperforms other current estimators in section 5 and 6. Finally, in section 7, there are some concluding thoughts.

2 Scrambling Technique

In this section, let us consider a finite population having distinctive units $\Upsilon = \Upsilon_1, \Upsilon_2, \dots, \Upsilon_N$ in which Y be the sensitive study variable, X be non-sensitive auxiliary variable which is positively correlated with Y . Let T and S be two scrambling variables with known variances and we take T with a mean (μ_T) of 1 and S with a mean (μ_s) of 0. Let P be the probability that the respondent finds the question sensitive. Let n' is the number of units in the first phase sample units whereas n is the number of units in the second phase sample units. Only in the second phase sample units both sensitive study and non-sensitive auxiliary variable are ascertained, in the first phase sample units only non-sensitive auxiliary variable is observed because sensitive study variable is expensive in nature to measure. The two-phase sampling strategy is given below

1. In the first phase, a large sample of a fixed size n' is drawn from N to observe only X or non-sensitive auxiliary variable.
2. In the second phase sample, a sub-sample of fixed size n is drawn from n' to observe Y and X , so that ($n < n'$).

Using the privacy protection measure $\Omega = E(Z - Y)^2$ given by Yan et al. (2008), we can easily calculate the privacy levels of the Pollock and Beck (1976) model and the Diana and Perri (2011) model. These are given by

$$\Omega_{PB} = \sigma_s^2$$

and

$$\Omega_{DP} = \sigma_T^2(\mu_y^2 + \sigma_y^2) + \sigma_s^2$$

A simple additive RRT model is utilized Gupta et al. (2012), where the scrambling response is given by $Y + S$. Then, a more general RRT model is used by Diana and Perri (2011) where the scrambling response is given by $TY + S$. Further, the simple additive model is more efficient but the general model has greater privacy is demonstrated by Khailil et al. (2018). Moreover, Gupta et al. (2018) investigates that the general RRT model is better when we use a unified measure of model quality i.e. $\delta = \frac{Var(Z)}{\Omega}$, where Z is the scrambled response. It may be determined that

$$\delta_{additiveRRT} = 1 + \frac{S_y^2}{S_S^2} > 1 + \frac{S_y^2}{S_S^2 + S_T^2(\bar{y}^2 + S_y^2)} = \delta_{generalRRT} \quad (1)$$

Since ORRT model is more efficient and reliable than RRT, we add optionally to the Diana and Perri (2011) model. In the ORRT version, the respondent may answer in the two ways given in (2) depending on whether the respondent considers the question sensitive or not. Therefore, when we apply ORRT in the second phase, then the reported response of the respondents is

$$Z = \begin{cases} Y & \text{with probability } 1-P \\ TY + S & \text{with probability } P, \end{cases} \quad (2)$$

where it is assumed that $\mu_T = E(T) = 1$ and $\mu_s = E(S) = 0$

The randomized linear model is written as $Z = (TY + S)Q + Y(1 - P)$, where $Q \sim \text{Bernoulli}(P)$ with $E(Q) = P$, $Var(Q) = P(1 - P)$ and $E(Q^2) = Var(Q) + E^2(Q) = P$. And the mean and variance of (2) are given by $E(Z) = (\mu_T P + 1 - P)Y + \mu_s P$ and $Var(Z) = (Y^2 \sigma_T^2 + \sigma_s^2)P$.

Let \hat{y}_i be a transformation of the randomized response on the i^{th} unit whose expectation under the randomization mechanics is the true response y_i and is given by

$$\hat{y}_i = \frac{z_i - \mu_s}{\mu_T P + 1 - P} \quad (3)$$

with $E_R(\hat{y}_i) = y_i$ and $Var_R(\hat{y}_i) = \frac{(y_i^2 \sigma_T^2 + \sigma_s^2)P}{(\mu_T P + 1 - P)^2} = \tau_i$.

2.1 Modified Hansen and Hurwitz Technique

Hansen and Hurwitz (1946) considered a method in order to deal with this problem

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and introduced a new technique of sub-sampling the non-respondents. They proposed a double sampling scheme for estimating the population mean using mail or phone survey at the first attempt and then apply face-to-face interview at the second attempt to receive more information. If the variable of interest is sensitive it may cause non-response bias. The respondent may provide mendacious response in the face-to-face interview. In order to encourage the respondents to answer a sensitive survey question truthfully, we give respondents an opportunity to scramble the response using ORRT model given in (2) in the second phase of Hansen and Hurwitz procedure when there is a face-to-face interview.

The Hansen and Hurwitz (1946) estimator with ORRT model in (2) is given by

$$\hat{y} = w_1 \bar{y}_1 + w_2 \hat{y}_2 \quad (4)$$

where $\hat{y}_2 = \sum_{i=1}^{n_s} (\frac{\hat{y}_i}{n_s})$ with expectation and variance in the i^{th} phase ($i=1,2$) under the two-phase sampling are given by

$$E(\hat{y}) = \mu_y \quad (5)$$

and

$$Var(\hat{y}) = \theta \sigma_y^2 + \theta^* \sigma_{y(2)}^2 + \frac{W_2 f}{n} \left[\frac{\{(\sigma_{y(2)}^2 + \mu_{y(2)}^2) \sigma_T^2 + \sigma_s^2\} P}{(\mu_T P + 1 - P)^2} \right] \quad (6)$$

where $\theta = \frac{(N-n)}{Nn}$ and $\theta^* = \frac{(f-1)W_2}{n}$ and

it is easy to notice that $\frac{W_2 f}{n} \left[\frac{\{(\sigma_{y(2)}^2 + \mu_{y(2)}^2) \sigma_T^2 + \sigma_s^2\} P}{(\mu_T P + 1 - P)^2} \right]$ is the penalisation for using ORRT model.

Let (x_i, y_i, z_i) be the ascertained values and (X_i, Y_i, Z_i) be the true values on the variables (X, Y, Z) respectively. Then the measurement errors associated with the study and auxiliary variable (X, Y) in the population and the scrambled variable (Z) in the face-to-face phase be given by $V_i = x_i - X_i$, $U_i = y_i - Y_i$ and $W_i = z_i - Z_i$. These measurement errors are assumed to be random and uncorrelated with mean zero and variances $\sigma_v^2, \sigma_u^2, \sigma_w^2$, respectively.

$$\omega_y = \sum_{i=1}^n (y_i - \mu_y), \quad (7)$$

$$\omega_{x'} = \sum_{i=1}^n (x'_i - \mu_x), \quad (8)$$

$$\omega_x = \sum_{i=1}^n (x_i - \mu_x), \tag{9}$$

$$\omega_u = \sum_{i=1}^{n_1} U_i + \sum_{i=1}^{n_2} W_i \tag{10}$$

and

$$\omega_v = \sum_{i=1}^n V_i \tag{11}$$

where U_i, V_i, W_i are measurement errors on Y, X and Z, respectively.

Let $\epsilon_0^* = \frac{1}{n\mu_y}(\omega_y + \omega_u)$, $\epsilon_1^* = \frac{1}{n\mu_x}(\omega_x + \omega_v)$ and $\epsilon_1' = \frac{1}{n\mu_x}\omega_{x'}$.

In other words, $\hat{y}^* = (1 + \epsilon_0^*)\mu_y$, $\bar{x}^* = (1 + \epsilon_1^*)\mu_x$ and $\bar{x}' = (1 + \epsilon_1')\mu_x$.

Under the assumption of bivariate normality (Sukhatme et al. (1970)), we have

$$E(\epsilon_0^*) = E(\epsilon_1^*) = E(\epsilon_1') = 0; \tag{12}$$

$$E(\epsilon_0^{*2}) = \frac{1}{\mu_y^2} \left\{ \theta(\sigma_y^2 + \sigma_u^2) + \theta^*(\sigma_{y(2)}^2 + \sigma_w^2) + \frac{W_2 f}{n} \left[\frac{\{(\sigma_{y(2)}^2 + \mu_{y(2)}^2)\sigma_T^2 + \sigma_s^2\}P}{(\mu_T P + 1 - P)^2} \right] \right\}; \tag{13}$$

$$E(\epsilon_1^{*2}) = \frac{1}{\mu_x^2} \{ \theta(\sigma_x^2 + \sigma_v^2) + \theta^*(\sigma_{x(2)}^2 + \sigma_w^2) \}; \tag{14}$$

$$E(\epsilon_1'^2) = \frac{1}{\mu_x^2} \theta' \sigma_x^2; \tag{15}$$

$$E(\epsilon_0^* \epsilon_1^*) = \theta \rho_{yx} \frac{\sigma_y}{\mu_y} \frac{\sigma_x}{\mu_x} + \theta^* \rho_{zx(2)} \frac{\sigma_z}{\mu_y} \frac{\sigma_{x(2)}}{\mu_x}; \tag{16}$$

$$E(\epsilon_0^* \epsilon_1') = \theta' \rho_{yx} \frac{\sigma_y}{\mu_y} \frac{\sigma_x}{\mu_x}; \tag{17}$$

and

$$E(\epsilon_1^* \epsilon_1') = \frac{1}{\mu_x^2} \theta' \sigma_x^2; \tag{18}$$

where $\theta = \frac{(N-n)}{Nn}$, $\theta^* = \frac{(f-1)W_2}{n}$, $\theta' = \frac{(N-n')}{Nn'}$, $\sigma_z^2 = \sigma_y^2 + \sigma_s^2 P + \sigma_T^2(\sigma_y^2 + \mu_y^2)P$; and

$$\rho_{zx(2)} = \frac{\rho_{yx(2)}}{\sqrt{1 + \frac{\sigma_s^2 P}{\sigma_{y(2)}^2} + \frac{\sigma_T^2(\sigma_{y(2)}^2 + \mu_{y(2)}^2)P}{\sigma_{y(2)}^2}}} \tag{19}$$

3 Some Existing Estimators

Firstly, we introduced some existing estimators in the presence of measurement error and non-response using ORRT model in two-phase sampling.

The usual unbiased estimator is given by

$$\hat{T}_{yd}^{HH} = \hat{y}^* = w_1 \bar{y}_1^* + w_2 \hat{y}_2^* \quad (20)$$

The $MSE(\hat{T}_{yd}^{HH})$ upto the second order approximation is given by

$$MSE(\hat{T}_{yd}^{HH}) = \theta(\sigma_y^2 + \sigma_u^2) + \theta^*(\sigma_{y(2)}^2 + \sigma_p^2) + \kappa \quad (21)$$

The MSE of the usual unbiased estimator without measurement error may be obtained when $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 0$ in (21) then we get

$$MSE(\hat{T}_{yd}^{HH}) = \theta\sigma_y^2 + \theta^*\sigma_{y(2)}^2 + \kappa \quad (22)$$

where $\kappa = \frac{W_2 f}{n} \left[\frac{\{(\sigma_{y(2)}^2 + \mu_{y(2)}^2)\sigma_x^2 + \sigma_s^2\}P}{(\mu_T P + 1 - P)^2} \right]$

A ratio estimator corresponding to Gupta et al. (2014) is given by

$$\hat{T}_{rd}^{HH} = \frac{\hat{y}^*}{\bar{x}^*} \mu'_x = \hat{G}_d^* \mu'_x \quad (23)$$

where $\hat{G}_d^* = \frac{\hat{y}^*}{\bar{x}^*}$ and $\hat{y}^* = w_1 \bar{y}_1^* + w_2 \hat{y}_2^*$.

The $MSE(\hat{T}_{rd}^{HH})$ is given by

$$\begin{aligned} MSE(\hat{T}_{rd}^{HH}) = & \theta(\sigma_y^2 + G^2\sigma_x^2 - 2G\rho_{yx}\sigma_y\sigma_x) + \theta^*(\sigma_{y(2)}^2 + G^2\sigma_{x(2)}^2 - 2G \\ & \rho_{zx(2)}\sigma_z\sigma_{x(2)}) - \theta'(G^2\sigma_x^2 - 2G\rho_{yx}\sigma_y\sigma_x) + \theta(\sigma_u^2 + G^2\sigma_v^2) \\ & + \theta^*(\sigma_w^2 + G^2\sigma_p^2) + \kappa \end{aligned} \quad (24)$$

where $G = \frac{\mu_y}{\mu_x}$.

The MSE of ratio estimator without measurement error may be obtained by putting $\sigma_u^2 = \sigma_p^2 = \sigma_v^2 = 0$ in (24), we get

$$\begin{aligned} MSE(\hat{T}_{rd}^{HH}) = & \theta(\sigma_y^2 + G^2\sigma_x^2 - 2G\rho_{yx}\sigma_y\sigma_x) + \theta^*(\sigma_{y(2)}^2 + G^2\sigma_{x(2)}^2 - \\ & 2G\rho_{zx(2)}\sigma_z\sigma_{x(2)}) - \theta'(G^2\sigma_x^2 - 2G\rho_{yx}\sigma_y\sigma_x) + \kappa \end{aligned} \quad (25)$$

The Zhang et al. (2021) mean estimator of sensitive variable under measurement error and non-response in two-phase sampling is given by

$$\hat{T}_{zd}^{HH} = (\hat{y}^* + k(\bar{x}' - \bar{x}^*)) \left(\frac{\bar{D}}{\bar{d}} \right)^v \tag{26}$$

where $\bar{d} = \phi(\alpha\bar{x}^* + \beta) + (1 - \phi)(\alpha\bar{x}'_x + \beta)$ and $\bar{D} = \alpha\bar{x}' + \beta$.

The $MSE(\hat{T}_{zd}^{HH})$ is given by

$$\begin{aligned} MSE(\hat{T}_{zd}^{HH}) = & \theta[\sigma_y^2 + (k + \phi v R_{zd})^2 \sigma_x^2 - 2(k + \phi v R_{zd})\rho_{yx}\sigma_y\sigma_x] + \\ & \theta^*[\sigma_{y(2)}^2 + (k + \phi v R_{zd})^2 \sigma_{x(2)}^2 - 2(k + \phi v R_{zd})\rho_{zx(2)}\sigma_z\sigma_{x(2)}] - \\ & \theta'[(k + \phi v R_{zd})^2 \sigma_x^2 - 2(k + \phi v R_{zd})\rho_{yx}\sigma_y\sigma_x] + \\ & \theta[\sigma_u^2 + (k + \phi v R_{zd})^2 \sigma_v^2] + \theta^*[\sigma_w^2 + (k + \phi v R_{zd})^2 \sigma_v^2] + \kappa \end{aligned} \tag{27}$$

The $MSE(\hat{T}_{zd}^{HH})$ is minimum when

$$\phi_{opt} = \frac{\theta[\rho_{yx}\sigma_y\sigma_x - k(\sigma_x^2 + \sigma_v^2)] + \theta^*[\rho_{zx(2)}\sigma_z\sigma_{x(2)} - k(\sigma_{x(2)}^2 + \sigma_v^2)] - \theta'[k\sigma_x^2 - \rho_{yx}\sigma_y\sigma_x]}{vR_{zs}[\theta(\sigma_x^2 + \sigma_v^2) + \theta^*\sigma_{x(2)}^2 + \sigma_v^2 - \theta'\sigma_x^2]} \tag{28}$$

where $R_{zd} = \frac{\alpha\mu_y}{\alpha\mu_x + \beta}$.

The resultant minimum $MSE(\hat{T}_{zd}^{HH})$ is given by

$$\begin{aligned} MSE_{min}(\hat{T}_{zd}^{HH}) = & \theta(\sigma_y^2 + H^2\sigma_x^2 - 2H\rho_{yx}\sigma_y\sigma_x) + \theta^*(\sigma_{y(2)}^2 + H^2\sigma_{x(2)}^2 - \\ & 2H\rho_{zx(2)}\sigma_z\sigma_{x(2)}) - \theta'(H^2\sigma_x^2 - 2H\rho_{yx}\sigma_y\sigma_x) + \\ & \theta(\sigma_u^2 + H^2\sigma_v^2) + \theta^*(\sigma_w^2 + H^2\sigma_v^2) + \kappa \end{aligned} \tag{29}$$

where $H = \frac{\theta\rho_{yx}\sigma_y\sigma_x + \theta^*\rho_{zx(2)}\sigma_z\sigma_{x(2)} - \theta'\rho_{yx}\sigma_y\sigma_x}{\theta(\sigma_x^2 + \sigma_v^2) + \theta^*(\sigma_{x(2)}^2 + \sigma_v^2) - \theta'\sigma_x^2}$.

The MSE of Zhang et al. (2021) estimator without measurement error by putting $\sigma_u^2 = \sigma_p^2 = \sigma_v^2 = 0$ in (29), we get

$$\begin{aligned} MSE_{min}(\hat{T}_{zd}^{HH}) = & \theta(\sigma_y^2 + P^2\sigma_x^2 - 2P\rho_{yx}\sigma_y\sigma_x) + \theta^*(\sigma_{y(2)}^2 + P^2\sigma_{x(2)}^2 - \\ & 2P\rho_{zx(2)}\sigma_z\sigma_{x(2)}) - \theta'(P^2\sigma_x^2 - 2P\rho_{yx}\sigma_y\sigma_x) + \kappa \end{aligned} \tag{30}$$

4 Proposed Estimator under ORRT Models

An exponential-type estimators are known to perform efficient than the corresponding considered ratio and product-type estimators in terms of having lower mean square error under certain efficiency conditions. It has a wide range of applications, so motivated by above discussions and Khalil et al. (2021) and Zhang et al. (2021), we propose a regression-cum-exponential estimator under ORRT models for population mean in the presence of non-response and measurement error on both the sensitive study (Y) as well as non-sensitive auxiliary variable (X) in two-phase sampling scheme. We propose the following estimator that includes a wide variety of mean estimators in two-phase sampling is given by

$$\hat{T}_{pd}^{HH} = [\hat{y}^* + \lambda(\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}' + \beta}{\alpha(\phi\bar{x}^* + \beta) + (1 - \alpha)(\phi\bar{x}' + \beta)}\right]^\eta \quad (31)$$

where λ and η are suitable constants, α is assumed to be an unknown constant whose value is to be determined from optimally considerations and ϕ ($\phi \neq 0$) and β are assumed to be some known parameters of the auxiliary variable X , such as coefficient of variation (C_x), kurtosis ($\beta_2(x)$), and correlation coefficient (ρ_{yx}) etc. Please note that for different values of ϕ and β , we can obtain various estimators. Also, with $\eta = 1$ we get various ratio estimators and with $\eta = -1$ we get various product estimators.

Now, we have considered the special cases of $(\hat{\tau}_{pi}^{HH})$ as following remarks:

Remark 1:

From $\hat{\tau}_{pd}^{HH}$ for $\eta = 1$, we can take the following ratio estimators

- (i) By putting $\lambda = 0, \alpha = 1$, we have

$$\hat{\tau}_{111}^{HH} = \hat{y}^* \exp\left[\frac{\phi\bar{x}' + \beta}{\phi\bar{x}^* + \beta}\right]. \quad (32)$$

- (ii) By putting $\lambda = 1, \alpha = 1$, we have

$$\hat{\tau}_{121}^{HH} = [\hat{y}^* + (\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}' + \beta}{\phi\bar{x}^* + \beta}\right]. \quad (33)$$

(iii) By putting $\lambda = \beta_{yx}$, $\alpha = 1$, we have

$$\hat{\tau}_{131}^{HH} = [\hat{y}^* + \beta_{yx}(\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}' + \beta}{\phi\bar{x}^* + \beta}\right]. \quad (34)$$

(iv) By putting $\lambda = 0$, $\alpha = \hat{\alpha}_{opt}$, we have

$$\hat{\tau}_{141}^{HH} = \hat{y}^* \exp\left[\frac{\phi\bar{x}' + \beta}{\hat{\alpha}_{opt}(\phi\bar{x}^* + \beta) + (1 - \hat{\alpha}_{opt})(\phi\bar{x}' + \beta)}\right]. \quad (35)$$

(v) By putting $\lambda = 1$, $\alpha = \hat{\alpha}_{opt}$, we have

$$\hat{\tau}_{151}^{HH} = [\hat{y}^* + (\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}' + \beta}{\hat{\alpha}_{opt}(\phi\bar{x}^* + \beta) + (1 - \hat{\alpha}_{opt})(\phi\bar{x}' + \beta)}\right]. \quad (36)$$

(vi) By putting $\lambda = \beta_{yx}$, $\alpha = \hat{\alpha}_{opt}$, we have

$$\hat{\tau}_{161}^{HH} = [\hat{y}^* + \beta_{yx}(\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}' + \beta}{\hat{\alpha}_{opt}(\phi\bar{x}^* + \beta) + (1 - \hat{\alpha}_{opt})(\phi\bar{x}' + \beta)}\right]. \quad (37)$$

Remark 2:

From $\hat{\tau}_{pd}^{HH}$ for $\eta = -1$, we can take the following estimators

(i) By putting $\lambda = 0$, $\alpha = 1$, we have

$$\hat{\tau}_{212}^{HH} = \hat{y}^* \exp\left[\frac{\phi\bar{x}^* + \beta}{\phi\bar{x}' + \beta}\right]. \quad (38)$$

(ii) By putting $\lambda = 1$, $\alpha = 1$, we have

$$\hat{\tau}_{222}^{HH} = [\hat{y}^* + (\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}^* + \beta}{\phi\bar{x}' + \beta}\right]. \quad (39)$$

(iii) By putting $\lambda = \beta_{yx}$, $\alpha = 1$, we have

$$\hat{\tau}_{232}^{HH} = [\hat{y}^* + \beta_{yx}(\bar{x}' - \bar{x}^*)] \exp\left[\frac{\phi\bar{x}^* + \beta}{\phi\bar{x}' + \beta}\right]. \quad (40)$$

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(iv) By putting $\lambda = 0$, $\alpha = \hat{\alpha}_{opt}$, we have

$$\hat{\tau}_{242}^{HH} = \hat{y}^* \exp \left[\frac{\hat{\alpha}_{opt}(\phi\bar{x}^* + \beta) + (1 - \hat{\alpha}_{opt})(\phi\bar{x}' + \beta)}{\phi\bar{x}' + \beta} \right]. \quad (41)$$

(v) By putting $\lambda = 1$, $\alpha = \hat{\alpha}_{opt}$, we have

$$\hat{\tau}_{252}^{HH} = [\hat{y}^* + (\bar{x}' - \bar{x}^*)] \exp \left[\frac{\hat{\alpha}_{opt}(\phi\bar{x}^* + \beta) + (1 - \hat{\alpha}_{opt})(\phi\bar{x}' + \beta)}{\phi\bar{x}' + \beta} \right]. \quad (42)$$

(vi) By putting $\lambda = \beta_{yx}$, $\alpha = \hat{\alpha}_{opt}$, we have

$$\hat{\tau}_{262}^{HH} = [\hat{y}^* + \beta_{yx}(\bar{x}' - \bar{x}^*)] \exp \left[\frac{\hat{\alpha}_{opt}(\phi\bar{x}^* + \beta) + (1 - \hat{\alpha}_{opt})(\phi\bar{x}' + \beta)}{\phi\bar{x}' + \beta} \right]. \quad (43)$$

Expanding (31) in terms of ϵ 's to the first degree of approximation, we have

$$\hat{T}_{pd}^{HH} = [(1 + \epsilon_0^*)\mu_y + \lambda\{(1 + \epsilon') - (1 + \epsilon_1^*)\mu_x\}] \exp \left[\frac{\phi(1 + \epsilon'_1) + \beta}{\alpha(\phi(1 + \epsilon_1^*) + \beta) + (1 - \alpha)(\phi(1 + \epsilon') + \beta)} \right]^\eta \quad (44)$$

$$\hat{T}_{pd}^{HH} = (\mu_y + \epsilon_0^*\mu_y - \lambda\mu_x(\epsilon'_1 - \epsilon_1^*)) \exp[1 + \psi(\epsilon'_1\epsilon_1^*)]^{-\eta} \quad (45)$$

where $\psi = \frac{\alpha\phi\mu_x}{\phi\mu_x + \beta}$.

$$\hat{T}_{pd}^{HH} = \frac{5\mu_y}{2} + \frac{5\mu_y}{2}\epsilon_0^* - \delta_1\epsilon_1^* + \delta_1\epsilon'_1 + \delta_2\epsilon_1^{*2} + \delta_2\epsilon_1'^2 - 2\eta\psi\epsilon_1^*\epsilon'_1 - 2\eta\psi\epsilon_0^*\epsilon_1^*\mu_y + 2\eta\psi\epsilon_0^*\epsilon'_1\mu_y \quad (46)$$

where $\delta_1 = (2\eta\psi\mu_y + \frac{5\lambda\mu_x}{2})$ and $\delta_2 = [\frac{3\eta(\eta+1)}{2}\psi^2\mu_y + 2\eta\lambda\psi\mu_x + \frac{\eta^2}{2}\psi^2\mu_y]$.

$$(\hat{T}_{pd}^{HH} - \mu_y) = \frac{3\mu_y}{2} + \frac{5\mu_y}{2}\epsilon_0^* - \delta_1\epsilon_1^* + \delta_1\epsilon'_1 + \delta_2\epsilon_1^{*2} + \delta_2\epsilon_1'^2 - 2\eta\psi\epsilon_1^*\epsilon'_1 - 2\eta\psi\epsilon_0^*\epsilon_1^*\mu_y + 2\eta\psi\epsilon_0^*\epsilon'_1\mu_y \quad (47)$$

Using (47), the *Bias* and *MSE* of \hat{T}_{pd}^{HH} is given by

$$Bias(\hat{T}_{pd}^{HH}) \approx \frac{3\mu_y}{2} + \frac{\theta}{\mu_x} \left[\frac{\delta_2}{\mu_x} (\sigma_x^2 + \sigma_v^2) - 2\eta\psi\rho_{yx}\sigma_y\sigma_x \right] + \frac{\theta^*}{\mu_x} \left[\frac{\delta_2}{\mu_x} (\sigma_{x(2)}^2 + \sigma_v^2) - 2\eta\psi\rho_{zx(2)}\sigma_z\sigma_{x(2)} \right] - \frac{\theta'}{\mu_x} \left[\frac{\delta_2}{\mu_x} \sigma_x^2 - 2\eta\psi\rho_{yx}\sigma_y\sigma_x \right] \quad (48)$$

The Bias(\hat{T}_{pd}^{HH}) without measurement error may be obtained by putting $\sigma_v^2 = 0$ in (48)

and

$$MSE(\hat{T}_{pd}^{HH}) = E(\hat{T}_{pd}^{HH} - \mu_y)^2 = \frac{9}{4}\mu_y^2 + \theta \left(\frac{25}{4}(\sigma_y^2 + \sigma_u^2) + \frac{\delta_1^2}{\mu_x^2}(\sigma_x^2 + \sigma_v^2) - 5\frac{\delta_1}{\mu_x}\rho_{yx}\sigma_y\sigma_x \right) + \theta^* \left(\frac{25}{4}(\sigma_{y(2)}^2 + \sigma_w^2) + \frac{\delta_1^2}{\mu_x^2}(\sigma_{x(2)}^2 + \sigma_v^2) - 5\frac{\delta_1}{\mu_x}\rho_{zx(2)}\sigma_z\sigma_{x(2)} \right) - \theta' \left(\frac{\delta_1^2}{\mu_x^2}\sigma_x^2 - 5\frac{\delta_1}{\mu_x}\rho_{yx}\sigma_y\sigma_x \right) + \frac{25}{4}\kappa \quad (49)$$

where $\kappa = \frac{W_2f}{n} \left[\frac{\{(\sigma_{y(2)}^2 + \mu_{y(2)}^2)\sigma_T^2 + \sigma_s^2\}P}{(\mu_T P + 1 - P)^2} \right]$.

Differentiating (49) with respect to α and equating it to zero, the optimum value of α are found as

$$\hat{\alpha}_{opt} = \frac{5[\theta\rho_{yx}\sigma_y\sigma_x + \theta^*\rho_{zx(2)}\sigma_z\sigma_{x(2)} - \theta'\rho_{yx}\sigma_y\sigma_x] - \lambda[\theta(\sigma_x^2 + \sigma_v^2) + \theta^*(\sigma_{x(2)}^2 + \sigma_v^2) - \theta'\sigma_x^2]}{4\eta\gamma[\theta(\sigma_x^2 + \sigma_v^2) + \theta^*(\sigma_{x(2)}^2 + \sigma_v^2) - \theta'\sigma_x^2]} \quad (50)$$

where $\gamma = \frac{\phi\mu_y}{\phi\mu_x + \beta}$.

Substituting the optimum value in (49), the minimum *MSE*(\hat{T}_{pd}^{HH}) is given by

$$MSE_{min}(\hat{T}_{pd}^{HH}) = \frac{9}{4}\mu_y^2 + \theta \left(\frac{25}{4}(\sigma_y^2 + \sigma_u^2) + \Delta^2(\sigma_x^2 + \sigma_v^2) - 5\Delta\rho_{yx}\sigma_y\sigma_x \right) + \theta^* \left(\frac{25}{4}(\sigma_{y(2)}^2 + \sigma_w^2) + \Delta^2(\sigma_{x(2)}^2 + \sigma_v^2) - 5\Delta\rho_{zx(2)}\sigma_z\sigma_{x(2)} \right) - \theta'(\Delta\sigma_x^2 - 5\Delta\rho_{yx}\sigma_y\sigma_x) + \frac{25}{4}\kappa \quad (51)$$

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where $\Delta = \frac{5[\theta\rho_{yx}\sigma_y\sigma_x + \theta^*\rho_{zx(2)}\sigma_z\sigma_{x(2)} - \theta'\rho_{yx}\sigma_y\sigma_x] - \lambda[\theta(\sigma_x^2 + \sigma_v^2) + \theta^*(\sigma_{x(2)}^2 + \sigma_v^2) - \theta'\sigma_x^2]}{2[\theta(\sigma_x^2 + \sigma_v^2) + \theta^*(\sigma_{x(2)}^2 + \sigma_v^2) - \theta'\sigma_x^2]}$.

When we let $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 0$ in (51), then the $MSE_{min}(\hat{T}_{pd}^{HH})$ without measurement error which is given by

$$MSE_{min}(\hat{T}_{pd}^{HH}) = \frac{9}{4}\mu_y^2 + \theta\left(\frac{25}{4}\sigma_y^2 + \Delta^2\sigma_x^2 - 5\Delta\rho_{yx}\sigma_y\sigma_x\right) + \theta^*\left(\frac{25}{4}\sigma_{y(2)}^2 + \Delta^2\sigma_{x(2)}^2 - 5\Delta\rho_{zx(2)}\sigma_z\sigma_{x(2)}\right) - \theta'(\Delta\sigma_x^2 - 5\Delta\rho_{yx}\sigma_y\sigma_x) + \frac{25}{4}\kappa \quad (52)$$

By using (52) for different values of λ and α , we get the minimum MSE of \hat{T}_{pd}^{HH} .

5 Efficiency Comparisons

In this section, we find the conditions by comparing the MSE expressions of the proposed estimator ' \hat{T}_{pd}^{HH} ', with the MSE of other existing estimators i.e \hat{T}_{yd}^{HH} , \hat{T}_{rd}^{HH} and \hat{T}_{zd}^{HH} . By using (21), (24), (29) and (52), the following conditions are developed

$$(i) \quad MSE(\hat{T}_{pd}^{HH}) < MSE(\hat{\tau}_{yd}^{HH})$$

$$if \quad \left[\frac{\frac{9}{4}\mu_y^2 + \frac{21}{4}\{\theta(\sigma_y^2 + \sigma_u^2) + \theta^*(\sigma_{y(2)}^2 + \sigma_w^2) + \kappa\}}{4\Delta\{\theta\rho_{yx}\sigma_y\sigma_x + \theta^*\rho_{zx(2)}\sigma_z\sigma_{x(2)} - \theta'\rho_{yx}\sigma_y\sigma_x\}} \right] < 1 \quad (53)$$

$$(ii) \quad MSE(\hat{T}_{pd}^{HH}) < MSE(\hat{\tau}_{rd}^{HH})$$

$$if \quad \left[\frac{\frac{9}{4}\mu_y^2 + \frac{21}{4}\{\theta(\sigma_y^2 + \sigma_u^2) + \theta^*(\sigma_{y(2)}^2 + \sigma_w^2) + \kappa\}}{(5\Delta - 2G)\{\theta\rho_{yx}\sigma_y\sigma_x + \theta^*\rho_{zx(2)}\sigma_z\sigma_{x(2)} - \theta'\rho_{yx}\sigma_y\sigma_x\}} \right] + \frac{(\Delta^2 - G^2)}{(5\Delta - 2G)H} < 1 \quad (54)$$

$$(iii) \quad MSE(\hat{T}_{pd}^{HH}) < MSE(\hat{\tau}_{zd}^{HH})$$

$$if \quad \left[\frac{\frac{9}{4}\mu_y^2 + \frac{21}{4}\{\theta(\sigma_y^2 + \sigma_u^2) + \theta^*(\sigma_{y(2)}^2 + \sigma_w^2) + \kappa\}}{(5\Delta - 2H)\{\theta\rho_{yx}\sigma_y\sigma_x + \theta^*\rho_{zx(2)}\sigma_z\sigma_{x(2)} - \theta'\rho_{yx}\sigma_y\sigma_x\}} \right] + \frac{(\Delta^2 - H^2)}{(5\Delta - 2H)H} < 1 \quad (55)$$

For without measurement error, one can obtain the above conditions by assuming $\sigma_u = \sigma_w = 0$.

If the above conditions (53), (54) and (55) holds true, then our proposed estimator is more efficient than other existing estimators respctively.

6 Simulation Study

It is crucial to look into the cases when our suggested approaches outperform existing ones. So, an empirical investigation of the proposed technique with a data set of natural population and fictitious population is conducted in order to demonstrate the effectiveness of the proposed estimator \hat{T}_{pd}^{HH} in the presence of non-response and measurement error simultaneously using ORRT model over other existing estimators by using R software.

The empirical MSE of the estimator \hat{T}_{pd}^{HH} is computed by

$$EMSE(\hat{T}_d^{HH}) = \frac{1}{6000} \sum_{i=1}^{6000} (\hat{T}_d^{HH} - \mu_y)^2 \quad (56)$$

where $d = yd, rd, zd, pd$; and μ_y is the population mean of the sensitive study variable.

The percent relative efficiency (PRE) of the estimators \hat{T}_d^{HH} with respect to the ordinary mean estimator \hat{T}_{yd}^{HH} is premeditated by using following equation

$$PRE = \frac{MSE(\hat{T}_{yd}^{HH})}{MSE(\hat{T}_d^{HH})} \times 100 \quad (57)$$

Now, the unified measure δ as defined in Gupta et al. (2018) is given by

$$\delta = \frac{MSE(\hat{T}_d^{HH})}{\Omega_{DP}}. \quad (58)$$

6.1 Natural population Data Set

For real data set, we use Narcotics Control Bureau, New Delhi data which is related to Crime in India 2016 from National Crime Records Bureau (Ministry of Home Affairs), Government of India to elucidate the efficacious performance of our proposed estimator. The population consists of $N = 13$ categories. Further, let X denotes the number of persons arrested and Y denotes the total number of drugs seized as per

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Narcotics Control Bureau during 2016 in the country. In order to minimize the impact of scrambling variables on the actual data, we have considered $\mu_T = 1$; $\sigma_T^2 = 5$ and $\mu_s = 0$; $\sigma_s^2 = 1$, then we compute the percent relative efficiencies (PREs) with respect to the ordinary mean estimator \hat{T}_{yd}^{HH} of population mean for different probabilities (P) and also evaluated the Privacy and Efficiency (i.e. Unified Measure) as suggested by Gupta et al. (2018). The findings are displayed in Table 1.

Table 1: Percent relative efficiency (PRE) and Unified Measure (δ) of the ORRT Estimators when $f = 2$.

P	PRE				Unified Measure (δ)			
	\hat{T}_{yd}^{HH}	\hat{T}_{rd}^{HH}	\hat{T}_{zd}^{HH}	\hat{T}_{pd}^{HH}	\hat{T}_{yd}^{HH}	\hat{T}_{rd}^{HH}	\hat{T}_{zd}^{HH}	\hat{T}_{pd}^{HH}
0.2	100.0000	89.0068	106.2112	184.0140	0.2390	0.2686	0.2251	0.1299
0.4	100.0000	92.6382	103.9187	181.9404	0.3708	0.4002	0.3568	0.2038
0.6	100.0000	94.9869	103.4800	181.8529	0.2398	0.2525	0.2318	0.1319
0.8	100.0000	95.5924	103.0160	181.3806	0.275	0.2882	0.2674	0.1518
1.0	100.0000	103.2333	101.8732	169.7269	3.0298	2.9349	2.9741	1.7851

It is visualized from Table 1 that for different values of P , the proposed estimator \hat{T}_{pd}^{HH} are highly efficient with respect to other existing estimator(s) i.e. (\hat{T}_{yd}^{HH} , \hat{T}_{rd}^{HH} and \hat{T}_{zd}^{HH}). Also, with the increase in value of P , the percent relative efficiency of the estimators \hat{T}_{zd}^{HH} and \hat{T}_{pd}^{HH} decreases and the percent relative efficiency of \hat{T}_{rd}^{HH} increases. Moreover, we also observed that the proposed estimator is always efficient than the other conventional estimator(s) under two-phase sampling.

6.2 Population generation through simulation using normal distribution

Let the scrambling variable S is taken to be a normal distribution with mean equal to zero and varying variance ($0.3*\sigma_x^2$, $0.6*\sigma_x^2$, $1*\sigma_x^2$) and other scrambling variable T is also confiscate to be a normal distribution with mean one and varying variances (0.2, 0.5, 1). The measurement error of X have a normal distribution with mean zero in both phases; the measurement error of Y in the first phase and Z in the second phase have a normal distribution with mean zero and varying variances (0, 5, 10). Now, we consider a finite population of size 6000 units generated from bivariate

normal distribution with means and covariance of (Y, X) as given below.

$$\textbf{Population:} \quad \mu = \begin{bmatrix} -0.02 \\ -0.05 \end{bmatrix}, \quad \Sigma = \begin{bmatrix} 13 & 3 \\ 3 & 1 \end{bmatrix}, \quad \rho = 0.9$$

$$\text{From here, } \mu_x = -0.02, \sigma_x^2 = 1, \mu_y = -0.05, \sigma_y^2 = 13, \rho_{yx} = 0.9 \quad (\text{I})$$

$$\mu_x = -0.0198, \sigma_x^2 = 0.9861, \mu_y = -0.0516, \sigma_y^2 = 13.15575, \rho_{yx} = 0.9605 \quad (\text{II})$$

Our parameter values for the set of 6000 data points we generated using R are very close to those in (I), although not exact. So, for the simulation study, we use parameter values in (II).

From population, we consider sample of size $n' = 700$ and assume that the response rate is 40% in the first phase. From n' we take sample of size $n = 600$. This means in the first phase only $240(n_1)$ respondents provide a response to the survey question and $360(n_2)$ of them do not. In the second phase, we take another sample ($n_s = \frac{n_2}{f}$) from non-respondent group by using $f = 2, 3, 4$, respectively. We also consider different response rates of 20%, 30%, 40% compared in the simulation study and results are averaged over 6,000 iterations. The results are shown in Tale 2 to 6.

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Table 2: Theoretical (**boldface**) and Empirical MSEs/PREs (with/without measurement error) of the ORRT Estimators when Response Rate = 40%, $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 1$, $f = 2$ and $\sigma_s^2 = 0.3^* \sigma_x^2$.

Estimator(s)	P	σ_T^2	MSE		PRE		δ	
			With M.E.	Without M.E.	With M.E.	Without M.E.		
\hat{T}_{yd}^{HH}	0.6	0.2	0.3576 0.3573	0.3546 0.3543	100.0000 100.0000	100.0000 100.0000	0.0020 0.0021	
		0.5	0.3621 0.3619	0.3591 0.3589	100.0000 100.0000	100.0000 100.0000	0.0019 0.0020	
		1	0.3789 0.3786	0.3759 0.3756	100.0000 100.0000	100.0000 100.0000	0.0019 0.0020	
	0.8	0.2	0.4753 0.4512	0.4723 0.4720	100.0000 100.0000	100.0000 100.0000	0.0026 0.0027	
		0.5	0.4810 0.4808	0.4780 0.4778	100.0000 100.0000	100.0000 100.0000	0.0026 0.0027	
		1	0.5033 0.5031	0.5003 0.5001	100.0000 100.0000	100.0000 100.0000	0.0026 0.0027	
	1	0.2	0.6603 0.6601	0.6573 0.6571	100.0000 100.0000	100.0000 100.0000	0.0037 0.0038	
		0.5	0.6680 0.6677	0.6650 0.6647	100.0000 100.0000	100.0000 100.0000	0.0037 0.0038	
		1	0.6987 0.6985	0.6957 0.6955	100.0000 100.0000	100.0000 100.0000	0.0037 0.0038	
	\hat{T}_{rd}^{HH}	0.6	0.2	0.3318 0.3332	0.3085 0.3293	107.7622 107.2499	114.9412 107.5949	0.0017 0.0019
			0.5	0.3374 0.3375	0.3141 0.3340	107.3057 107.2185	107.2185 107.4374	0.0017 0.0019
			1	0.3559 0.3545	0.3326 0.3511	106.4404 106.7965	113.0056 107.0006	0.0020 0.0019
0.8		0.2	0.4541 0.4512	0.4308 0.4477	104.6566 105.2801	109.6293 105.4284	0.0025 0.0026	
		0.5	0.4602 0.4570	0.4368 0.4535	104.5318 105.2031	109.4289 105.3489	0.0024 0.0026	
		1	0.4829 0.4793	0.4596 0.4759	104.2150 104.9447	108.8535 105.0817	0.0025 0.0026	
1		0.2	0.6396 0.6363	0.6162 0.6328	103.2445 103.7346	106.6629 103.8310	0.0035 0.0037	
		0.5	0.6470 0.6439	0.6236 0.6204	103.2403 103.6968	106.6267 103.7918	0.0035 0.0037	
		1	0.6775 0.6747	0.6541 0.6512	103.1377 103.5345	106.3584 103.6243	0.0035 0.0036	

\hat{T}_{zd}^{HH}	0.6	0.2	0.3464 0.3461	0.3231 0.3235	103.2334 103.2335	109.7206 109.7066	0.0018 0.0020
		0.5	0.3515 0.3513	0.3295 0.3293	103.0017 103.0018	108.9697 108.9827	0.0018 0.0020
		1	0.3693 0.3691	0.3490 0.3488	102.5933 102.5939	107.6857 107.6969	0.0018 0.0020
	0.8	0.2	0.4666 0.4664	0.4481 0.4479	101.8477 101.8481	105.3896 105.3981	0.0026 0.0027
		0.5	0.4726 0.4723	0.4543 0.4541	101.7924 101.7928	105.2225 105.2307	0.0025 0.0027
		1	0.4951 0.4948	0.4772 0.4771	101.6591 101.6595	104.8206 104.8283	0.0025 0.0027
	1	0.2	0.6519 0.6517	0.6337 0.6336	101.2961 101.2966	103.7286 103.7349	0.0036 0.0038
		0.5	0.6594 0.6592	0.6410 0.6408	101.2899 101.2904	103.7104 103.7167	0.0036 0.0038
		1	0.6900 0.6898	0.6714 0.6712	101.2587 101.2592	103.6176 103.6238	0.0036 0.0037
\hat{T}_{pd}^{HH}	0.6	0.2	0.1931 0.1865	0.0480 0.0418	186.3887 192.4526	737.8221 846.1338	0.0052 0.0051
		0.5	0.1972 0.1906	0.0594 0.0532	185.1398 191.5713	604.4946 674.5225	0.0053 0.0053
		1	0.2032 0.1967	0.0765 0.0702	183.6343 189.7926	491.4042 534.6927	0.0054 0.0053
	0.8	0.2	0.2092 0.2027	0.0932 0.0869	228.8493 234.3352	506.5725 542.8536	0.0055 0.0055
		0.5	0.2102 0.2036	0.0958 0.0896	227.1287 245.0796	498.5341 533.2080	0.0065 0.0065
		1	0.2118 0.2052	0.1003 0.0941	236.0785 237.6249	498.3858 531.4495	0.0065 0.0065
	1	0.2	0.2239 0.2174	0.1105 0.1042	294.8411 303.6081	594.5054 630.1460	0.0056 0.0056
		0.5	0.2231 0.2165	0.1081 0.1018	299.4120 308.3517	614.8670 652.5821	0.0056 0.0055
		1	0.2222 0.2156	0.1057 0.0994	323.8602 341.4280	658.1059 699.4517	0.0065 0.0064

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Table 3: Theoretical (**boldface**) and Empirical MSEs/PREs (with/without measurement error) of the ORRT Estimators when Response Rate = 40%, $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 1$, $f = 2$ and $\sigma_s^2 = 0.6^* \sigma_x^2$.

Estimator(s)	P	σ_T^2	MSE		PRE		δ	
			With M.E.	Without M.E.	With M.E.	Without M.E.		
\hat{T}_{yd}^{HH}	0.6	0.2	0.3580 0.3578	0.3550 0.3548	100.0000 100.0000	100.0000 100.0000	0.0020 0.0021	
		0.5	0.3626 0.3623	0.3596 0.3593	100.0000 100.0000	100.0000 100.0000	0.0020 0.0020	
		1	0.3794 0.3791	0.3764 0.3761	100.0000 100.0000	100.0000 100.0000	0.0020 0.0021	
	0.8	0.2	0.4759 0.4757	0.4729 0.4727	100.0000 100.0000	100.0000 100.0000	0.0027 0.0028	
		0.5	0.4817 0.4815	0.4787 0.4785	100.0000 100.0000	100.0000 100.0000	0.0027 0.0028	
		1	0.5039 0.5037	0.5009 0.5007	100.0000 100.0000	100.0000 100.0000	0.0027 0.0027	
	1	0.2	0.6612 0.6609	0.6582 0.6579	100.0000 100.0000	100.0000 100.0000	0.0038 0.0038	
		0.5	0.6688 0.6686	0.6658 0.6656	100.0000 100.0000	100.0000 100.0000	0.0038 0.0038	
		1	0.6996 0.6993	0.6966 0.6963	100.0000 100.0000	100.0000 100.0000	0.0037 0.0038	
	\hat{T}_{rd}^{HH}	0.6	0.2	0.3321 0.3333	0.3088 0.3298	107.7956 107.3674	114.9692 107.5907	0.0019 0.0019
			0.5	0.3378 0.3379	0.3144 0.3345	107.3387 107.2149	114.3500 107.4335	0.0019 0.0019
			1	0.3563 0.3550	0.3330 0.3515	106.4717 106.7935	113.0324 106.9973	0.0019 0.0019
0.8		0.2	0.4546 0.4518	0.4313 0.4484	104.6900 105.2786	109.6589 105.4267	0.0026 0.0026	
		0.5	0.4607 0.4576	0.4373 0.4542	104.5648 105.2018	109.4582 105.3473	0.0026 0.0026	
		1	0.4834 0.4800	0.4601 0.4765	104.2466 104.9438	108.8819 105.0806	0.0026 0.0026	
1		0.2	0.6405 0.6372	0.6171 0.6579	103.2320 103.7282	106.6440 103.8244	0.0037 0.0037	
		0.5	0.6479 0.6448	0.6245 0.6413	103.2269 103.6907	106.6089 103.7855	0.0037 0.0037	
		1	0.6784 0.6755	0.6550 0.6720	103.1267 103.5290	106.3426 103.6187	0.0036 0.0037	

\hat{T}_{zd}^{HH}	0.6	0.2	0.3468 0.3465	0.3234 0.3232	103.2533 103.2535	109.7702 109.7843	0.0020 0.0020
		0.5	0.3519 0.3517	0.3297 0.3296	103.0207 103.0209	109.0428 109.0297	0.0020 0.0020
		1	0.3697 0.3695	0.3493 0.3491	102.6104 102.6107	107.7389 107.7502	0.0019 0.0020
	0.8	0.2	0.4672 0.4670	0.4485 0.4483	101.8649 101.8654	105.4418 105.4503	0.0027 0.0027
		0.5	0.4731 0.4729	0.4547 0.4545	101.8093 101.8097	105.2735 105.8761	0.0027 0.0027
		1	0.4956 0.4954	0.4776 0.4775	101.6750 101.6754	104.2818 104.8684	0.0026 0.0027
	1	0.2	0.6528 0.6525	0.6347 0.6345	101.2837 101.2842	103.6923 103.7177	0.0037 0.0038
		0.5	0.6603 0.6601	0.6419 0.6417	101.2903 101.2908	103.6985 103.7114	0.0037 0.0038
		1	0.6909 0.6907	0.6723 0.6721	101.2536 101.2541	103.6026 103.6087	0.0037 0.0037
\hat{T}_{pd}^{HH}	0.6	0.2	0.1926 0.1861	0.0466 0.0404	187.0480 192.2675	619.7398 877.3925	0.0052 0.0051
		0.5	0.1967 0.1909	0.0580 0.0518	185.8830 190.5370	500.4924 693.4262	0.0063 0.0062
		1	0.2028 0.1963	0.0752 0.0689	184.3389 193.1477	461.6448 545.3947	0.0064 0.0063
	0.8	0.2	0.2086 0.2021	0.0916 0.0853	228.0659 235.3226	516.1391 553.7833	0.0065 0.0064
		0.5	0.2096 0.2031	0.0943 0.0880	229.7793 237.0581	507.6031 543.5286	0.0055 0.0054
		1	0.2112 0.2047	0.0988 0.0925	238.5560 246.0602	506.8662 541.0425	0.0065 0.0064
	1	0.2	0.2241 0.2176	0.1110 0.1047	294.9823 303.7465	592.5626 627.9206	0.0076 0.0075
		0.5	0.2232 0.2167	0.1086 0.1023	299.5632 308.5007	612.9584 650.3876	0.0066 0.0065
		1	0.2223 0.2158	0.1061 0.0998	314.5889 324.0195	656.2424 697.3000	0.0055 0.0054

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Table 4: Theoretical (**boldface**) and Empirical MSEs/PREs (with/without measurement error) of the ORRT Estimators when Response Rate = 40%, $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 1$, $f = 2$ and $\sigma_s^2 = 1 * \sigma_x^2$.

Estimator(s)	P	σ_T^2	MSE		PRE		δ
			With M.E.	Without M.E.	With M.E.	Without M.E.	
\hat{T}_{yd}^{HH}	0.6	0.2	0.3592	0.3562	100.0000	100.0000	0.0021
			0.3590	0.3560	100.0000	100.0000	0.0020
		0.5	0.3637	0.3607	100.0000	100.0000	0.0021
	0.3635		0.3605	100.0000	100.0000	0.0021	
	1	0.3805	0.3775	100.0000	100.0000	0.0020	
		0.3803	0.3773	100.0000	100.0000	0.0021	
	0.8	0.2	0.4775	0.4745	100.0000	100.0000	0.0027
			0.4773	0.4743	100.0000	100.0000	0.0028
		0.5	0.4833	0.4803	100.0000	100.0000	0.0027
	0.4831		0.4801	100.0000	100.0000	0.0028	
	1	0.5	0.5055	0.5025	100.0000	100.0000	0.0027
			0.5053	0.5023	100.0000	100.0000	0.0028
1		0.6632	0.6602	100.0000	100.0000	0.0038	
	0.6630	0.6600	100.0000	100.0000	0.0039		
\hat{T}_{rd}^{HH}	0.6	0.2	0.3331	0.3098	107.8265	114.9793	0.0019
			0.3344	0.3309	107.3504	107.5727	0.0019
		0.5	0.3388	0.3154	107.3700	114.3616	0.0019
	0.3391		0.3356	107.1987	107.4163	0.0020	
	1	0.3573	0.3339	106.5031	113.0465	0.0019	
		0.3561	0.3527	106.7794	106.9824	0.0019	
	0.8	0.2	0.4560	0.4326	104.7265	109.6816	0.0026
			0.4534	0.4499	105.2681	105.4156	0.0027
		0.5	0.4620	0.4387	104.6012	109.4813	0.0026
	0.4592		0.4557	105.1917	105.3367	0.0026	
	1	0.5	0.4848	0.4614	104.2820	108.9053	0.0026
			0.4815	0.4781	104.9351	104.9246	0.0026
1		0.6426	0.6193	103.2102	106.6085	0.0037	
	0.6392	0.6358	103.7142	103.8100	0.0037		
1	0.5	0.6500	0.6267	103.2041	106.5748	0.0037	
		0.6468	0.6434	103.6771	103.7716	0.0037	
	1	0.6805	0.6571	103.1074	106.3123	0.0036	
0.6776	0.6741	103.5168	103.6061	0.0037			

\hat{T}_{zd}^{HH}	0.6	0.2	0.3478 0.3476	0.3243 0.3241	103.2744 103.2746	109.8371 109.8514	0.0020 0.0018
		0.5	0.3530 0.3528	0.3306 0.3304	103.0410 103.0412	109.0937 109.1070	0.0020 0.0019
		1	0.3708 0.3705	0.3502 0.3500	102.6292 102.6295	107.7972 107.8087	0.0019 0.0020
	0.8	0.2	0.4687 0.4685	0.4497 0.4496	101.8850 101.8855	105.5023 105.5110	0.0027 0.0026
		0.5	0.4746 0.4744	0.4559 0.4558	101.8291 101.8295	105.3329 105.3413	0.0027 0.0026
		1	0.4971 0.4969	0.4789 0.4787	101.6938 101.6942	104.9246 104.9324	0.0026 0.0027
	1	0.2	0.6549 0.6547	0.6369 0.6367	101.2735 101.2810	103.6621 103.6683	0.0037 0.0038
		0.5	0.6624 0.6622	0.6441 0.6439	101.2806 101.2740	103.6826 103.6888	0.0037 0.0038
		1	0.6930 0.6928	0.6745 0.6743	101.2449 101.2454	103.5770 103.5831	0.0037 0.0038
\hat{T}_{pd}^{HH}	0.6	0.2	0.1919 0.1854	0.0447 0.0385	188.1889 193.6003	796.5094 923.4392	0.0052 0.0052
		0.5	0.1960 0.1895	0.0562 0.0500	187.1486 191.8084	641.9381 721.0136	0.0053 0.0052
		1	0.2022 0.1957	0.0734 0.0672	185.5478 194.3453	513.7317 560.9757	0.0054 0.0053
	0.8	0.2	0.2079 0.2014	0.0894 0.0832	237.0130 240.1500	530.2706 569.9182	0.0065 0.0064
		0.5	0.2088 0.2023	0.0921 0.0859	231.3774 238.7344	520.9898 558.7509	0.0065 0.0064
		1	0.2105 0.2039	0.0967 0.0904	229.6770 247.7318	519.3552 555.1562	0.0065 0.0064
	1	0.2	0.2243 0.2178	0.1117 0.1054	295.5798 304.3522	590.7291 625.7532	0.0076 0.0076
		0.5	0.2235 0.2169	0.1092 0.1029	300.1723 309.1188	611.1642 648.2540	0.0056 0.0055
		1	0.2226 0.2160	0.1067 0.1004	315.2079 324.6483	654.4969 695.2105	0.0065 0.0065

Estimation of Sensitive Variable in Two-Phase Sampling

The above tables i.e Table 2, Table 3 and Table 4 represents the theoretical and empirical MSEs and PREs of the ORRT estimators when all the variances of measurement errors on X, Y and Z ($\sigma_u^2, \sigma_w^2, \sigma_w^2$) are equal to 1 and the variance of scrambling variable S is equal to $(0.3*\sigma_x^2, 0.6*\sigma_x^2, 1*\sigma_x^2)$ with response rate set equal to 40%, respectively.

Finally, it is also clear that the proposed estimator (\hat{T}_{pd}^{HH}) performing well as compared to the other considered mean estimators i.e the ordinary RRT mean estimator (\hat{T}_{yd}^{HH}), the ratio estimator (\hat{T}_{rd}^{HH}) and Zhang et al. (2021) mean estimator (\hat{T}_{zd}^{HH}) even when very large measurement errors are present. The same is true for almost all cases even when measurement errors are not present.

Table 5: Theoretical (**boldface**) and Empirical MSEs/PREs (with/without measurement error) of the ORRT Estimators when $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 1, 5, 10$, $\sigma_T^2 = 0.5$, $P = 1$ and $\sigma_s^2 = 0.6*\sigma_x^2$.

Estimator(s)	f	MSE			PRE		
		$W = 1$	$\sigma_T^2 = 0.5$	$W_2 = 40\%$	$W = 1$	$\sigma_T^2 = 0.5$	$W_2 = 40\%$
		1	5	10	1	5	10
\hat{T}_{yd}^{HH}	2	0.4833	0.4953	0.5103	100.0000	100.0000	100.0000
		0.4831	0.4951	0.5101	100.0000	100.0000	100.0000
	3	0.7866	0.8046	0.8271	100.0000	100.0000	100.0000
		0.7863	0.8043	0.8268	100.0000	100.0000	100.0000
	4	0.3305	0.5545	0.6840	100.0000	100.0000	100.0000
		1.0302	1.0542	1.0842	100.0000	100.0000	100.0000
\hat{T}_{rd}^{HH}	2	0.4620	0.5554	0.6721	104.6012	103.4251	101.9015
		0.4592	0.4731	0.4905	105.1191	104.6332	103.9797
	3	0.8045	0.9445	0.9096	100.4560	100.2551	100.3512
		0.7657	0.7866	0.8127	102.6898	102.2533	101.7370
	4	0.7190	0.6057	0.7391	102.7781	102.3303	101.8035
		1.0024	1.0302	1.0650	101.1232	101.1235	100.9950
\hat{T}_{zd}^{HH}	2	0.4746	0.4929	0.5090	101.8291	100.4930	100.5212
		0.4744	0.4926	0.5088	101.8295	100.4931	100.5213
	3	0.7855	0.8042	0.8269	100.1404	100.0415	100.0216
		0.7852	0.8040	0.8267	100.1406	100.0417	100.0218
	4	0.5159	0.6499	0.6820	101.4360	100.4344	100.2267
		1.0157	1.0497	1.0818	101.4359	100.0216	100.0314
\hat{T}_{pd}^{HH}	2	0.2088	0.3229	0.4239	231.8854	153.3638	120.3838
		0.2023	0.3164	0.4173	238.7344	156.4748	122.2237
	3	0.4444	0.6570	0.8577	196.8261	155.6447	125.5962
		0.4378	0.5103	0.8511	200.0631	157.6073	126.8290
	4	0.3996	0.6504	0.6585	231.3774	160.4855	126.4372
		0.3930	0.5169	0.6519	235.3106	162.0762	127.3894

Table 5 represents the theoretical and empirical MSEs and PREs of the ORRT estimator under different variances of measurement errors (0, 5, 10) when the sensitivity level P is 1, variance of T is 0.5 and response rate is 40%. In this table, the MSE of each mean estimator increases with increase in measurement errors from 1 to 5. For example, when the variance of measurement errors increased from 0 to 10 and the value of f is 2 then the MSE of the proposed estimator \hat{T}_{pd}^{HH} increased from 0.2088 to 0.4239 and same in case when the value of $f = 3$ and 4.

In the above table, we see that our proposed estimator (\hat{T}_{pd}^{HH}) is more efficient than the other existing estimators i.e usual unbiased estimator (T_{yd}^{HH}), Ratio estimator (\hat{T}_{rd}^{HH}) and Zhang et al. (2021) mean estimator (\hat{T}_{zd}^{HH}). The same is true for almost all cases even when measurement errors are not present.

Table 6: Theoretical (**boldface**) and Empirical MSEs/PREs (with/without measurement error) of the ORRT Estimators under the conditions of Response Rate (RR)= 20%, 30%, 40% when $\sigma_u^2 = \sigma_w^2 = \sigma_v^2 = 1$, $\sigma_T^2 = 0.5$, $P = 0.8$ and $\sigma_s^2 = 0.6 * \sigma_x^2$.

Estimator(s)	f	MSE			PRE		
		20%	30%	40%	20%	30%	40%
\hat{T}_{yd}^{HH}	2	0.4617	0.4729	0.4817	100.0000	100.0000	100.0000
		0.4615	0.4727	0.4815	100.0000	100.0000	100.0000
	3	0.7276	0.7641	0.7842	100.0000	100.0000	100.0000
		0.7273	0.7639	0.7839	100.0000	100.0000	100.0000
	4	0.9055	1.0712	1.0273	100.0000	100.0000	100.0000
		0.9053	1.0710	1.0270	100.0000	100.0000	100.0000
\hat{T}_{rd}^{HH}	2	0.4302	0.4528	0.4607	107.5504	104.9610	104.5648
		0.4361	0.4490	0.4576	105.8210	105.2680	105.2018
	3	0.6765	0.7280	0.8027	107.3113	104.4533	100.9981
		0.6962	0.7350	0.7634	104.4673	103.9334	102.6865
	4	0.8257	1.0864	1.0171	107.1415	101.4265	101.6512
		0.8675	1.0472	0.9994	104.3573	102.2682	102.7665
\hat{T}_{zd}^{HH}	2	0.4469	0.4648	0.4731	107.1415	103.0637	101.8093
		0.4467	0.4646	0.4729	107.1410	101.7387	101.8097
	3	0.6941	0.7414	0.7831	104.8157	101.7391	101.3835
		0.6939	0.7412	0.7839	104.8163	103.0633	101.3834
	4	0.8451	1.0661	1.0132	103.3138	100.4836	100.1302
		0.8449	1.0658	1.0130	103.3145	100.4838	100.1306
\hat{T}_{pd}^{HH}	2	0.1656	0.2104	0.2096	402.0584	292.2670	229.7793
		0.1591	0.2039	0.2031	416.8889	299.6558	237.0581
	3	0.1809	0.2614	0.4001	278.7902	224.7008	229.3273
		0.1744	0.2549	0.3935	290.0442	231.7888	232.6872
	4	0.1936	0.5235	0.4479	796.6365	204.6071	195.9773
		0.1072	0.5169	0.4413	844.4984	207.1690	199.1960

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Table 6 represents the theoretical and empirical MSEs and PREs of the ORRT estimators under different response rates when the variance of measurement errors is equal to 1, sensitivity level P is equal to 0.8, and variance of T is equal to 0.5. It is envisaged from above table that the increase in ‘ f ’, there is an increase in the value of MSE of all the considered estimators. And for different response rate the MSE of the proposed estimator first increase and then decreased for $f = 2, 3, 4$.

Overall, our proposed estimator is performing good than the other considered estimators i.e ordinary mean estimator (\hat{T}_{yd}^{HH}), Ratio estimator (\hat{T}_{rd}^{HH}) and Zhang et al. (2021) mean estimator (\hat{T}_{zd}^{HH}). The same is true for almost all cases even when measurement errors are not present.

7 Conclusion

This article considered a problem for the estimation of sensitive variable in the presence of non-response and measurement errors simultaneously in two-phase sampling using ORRT models. The expressions of bias and minimum MSE of the proposed estimator (\hat{T}_{pd}^{HH}) has been obtained to the second order approximation. For empirical results, we studied the real-world application and model-based simulation and obtained the percent relative efficiency for different response rates. The properties of the proposed estimator have also been obtained. The relative performance of the proposed estimator with other considered estimators i.e ordinary mean estimator (\hat{T}_{yd}^{HH}), Ratio estimator (\hat{T}_{rd}^{HH}) and Zhang et al. (2021) mean estimator (\hat{T}_{zd}^{HH}) is also discussed and the conditions have obtained. It is also clear from the theoretical conditions that the performance of the proposed estimator is better than the other existing estimators. Thus, our recommendation is to prefer our proposed estimator to the survey statisticians for their application in real life population.

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